



PATENT  
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**Michael E. Connors**

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Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Brian Seed and Yi Yang	Confirmation No.	4930
Serial No.:	10/521,634	Art Unit:	1632
371(c) Date:	October 11, 2005	Examiner:	Michael C. Wilson
Customer No.:	21559		
Title:	METHODS FOR THE PRODUCTION OF CELLS AND MAMMALS WITH DESIRED GENETIC MODIFICATIONS		

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DECLARATION UNDER 37 C.F.R. § 1.131 OF DR. BRIAN SEED

1. I am a named co-inventor of claims 1-12, 18, and 19 of the above-identified application, and I am a Professor at the Harvard Medical School and the Massachusetts General Hospital. The Massachusetts General Hospital Corporation is the Assignee of the above-identified application.

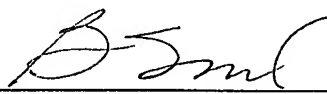
2. Prior to September 15, 2001, I and the co-inventor, or individuals under my supervision carried out experiments that are described in the attached notebook pages

(Exhibit 1; all dates have been redacted from Exhibit 1). Exhibit 1 describes the experimental methods used for characterization by fluorescence in situ hybridization (FISH) of ES cells into which an artificial chromosome has been inserted according to the methods described in the present application. In particular, these experiments were carried out by inserting into a mammalian cell an artificial chromosome containing a cassette that includes first and second regions of homology having at least 90% sequence identity to first and second regions of an endogenous chromosome of the mammalian cell and a selectable marker under conditions that result in homologous recombination between the artificial chromosome and the endogenous chromosome, resulting in integration of the cassette into the endogenous chromosome of the mammalian cell. As described in the specification (for example, at page 39, line 25, to page 40, line 29), and as illustrated in Figure 12 (copy enclosed as Exhibit 2) of the specification as filed, FISH analysis was used to confirm the proper integration of the cassette in ES cells. Exhibit 1 describes the preparation of ES cells for FISH analysis, including hybridization with a probe specific for the inserted cassette, thereby confirming that a genetically modified mammalian cell had been produced in accordance with the presently claimed methods.

3. The above experiments were carried out in the United States and completed before September 15, 2001.

4. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Date: August 10, 2008

  
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Dr. Brian Seed

# EXHIBIT 1

## FISH

### 1. Fix cells.

1. PBS CSK CSK + 0.5% Triton - 4°C  
 4% paraformaldehyde (pH 7.4) - R/T  
 unlabeled Tween → check p.  
 - slides in PBS 5 min 4°C  
 - CSK buffer (cold) 1 min.  
 - ice-cold CSK + 0.5% Triton 1 min.  
 - ice-cold CSK 1 min.  
 - 4% paraformaldehyde 10 min R/T  
 - 70% EtOH 5 min.  
 - 70% EtOH O/N 4°C.

注意：4% 多聚甲醛 不要太高 pH 7.4

### 2. Preparation of Probe

- denature at 75°C 10 min.
- preanneal at 42°C 20 min - even.

### 3. Preparation of Slides

注意：70% formamide 2x SSC

#### - dehydrate slide

80% EtOH 5 min.

95% EtOH 5 min.

100% EtOH 5 min.

- Denature DNA in 70% formamide, 2x SSC at 75-80°C 10 min.

注意：1. 探针在 75°C 煮 10 min. 2. Before put slide in. warmup slide at 50°C heat blot for 2 min.

# EXHIBIT 1

- in ice-cold EtOH:
  - 70% EtOH 2 min.
  - 80% EtOH 2 min.
  - 100% EtOH 2 min.
  - 100% EtOH 2 min.
- air dry slide at R/T

## 4. Hybridization

- warm slide at 40°C Heat Block.
- pipette 4  $\mu$ l probe DNA (2  $\mu$ g/l) on to each well.
  - 1. 不要忘記加在每個well上.
  - 2. slide - 直接放 40°C Heat block.
- Cover w/ cover slip.
- put in tissue culture incubate 37°C o/n.

## 5. Wash.

- 1 + 1  $\mu$ l per warm washer bottle. 沒問題就照做
- 50% formamide 2X SSC 3X 10 min 45°C
- 2X SSC 3X 10 min 45°C
- 3X 50% formamide 2X SSC 45°C shake at water bath 10 min.
- 3X 2X SSC 45°C 10 min.
- Block at 4X SSC 0.1% Tween 20 R/T 10 min.
- 150  $\mu$ l of 1% BSA (use 100X BSA) 4X SSC 0.1% Tween 20 with 1:50 (Forb fragments) 30 min 37°C
- wash 3X 10 min 45°C w/ 4X SSC 0.1% Tween 20
- add 2  $\mu$ l DAPI into 50 ml 4X SSC slide in for 2 min
- wash 1X 4X SSC R/T 2 min.

# EXHIBIT 1

## FISH

### 1. Fix cells.

- add 5ml of trypsinized ES cells on each wells of slide.
- Air dry (≈ 20 min.)
- slide in PBS R/T 5 min.
- 4% paraformaldehyde 10 min. R/T
- 70% EtOH 5 min. R/T
- 70% EtOH o/n 4°C

### 2. Hybridization.

→ probe

- denature probe at 75°C 10 min.
- preanneal at 42°C (random labeling) > 20 min.  
or at 37°C (nick translation labeling) > 20 min.
- slides.
  - dehydrate slide.  
80% EtOH → 95% EtOH → 100% EtOH 5 min.  
5 min each at R/T
  - denature DNA slide.  
in 70% formamide 2X SSC.  
75~80°C 10 min.
  - dehydrate again. in ICE COLD EtOH.  
ice cold. 70% EtOH → 80% EtOH → 95% EtOH → 100% EtOH → 100% (2X)  
2 min. each at R/T
  - Air dry slide at R/T
  - warm dry slide on 40°C heat BLT.
  - pipette 3~4 ml of probe to each well.
  - cover w/ cover slip.
  - leave at tissue culture incubate 37°C o/n.

### 3. Wash.

3X	2X	SSC	72°C	5~7 min.
1X	0.2X	SSC	72°C	5~7 min.

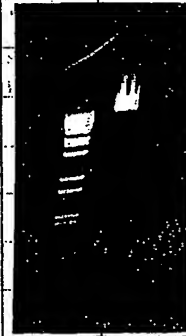
# EXHIBIT 1

- Digest pRL5 (mFT<sub>2</sub> 5' Bgl<sub>2</sub>-Eag (210kb),) with Bgl<sub>2</sub>  
100 ml Rxxv.

10 ml 10X High Buffer.  
20 ml pRL5 (1 µg/λ)  
10 ml Bgl<sub>2</sub> (400 unit/λ)  
60 ml H<sub>2</sub>O

100 ml

37°C 2 hours



- add 100 ml phenol: mix
- spin down
- add EtOH/NaAc
- R/S in 40 ml TE → 300 µg/λ

- Digest Sam68 - Sal<sub>2</sub> religat plasmid with Sal<sub>2</sub>.

100 ml Rxxv.

10 ml 10X High Buffer  
3.5 ml Sam68 - Sal<sub>2</sub> religat DNA (5 µg/λ)  
10 ml Sal<sub>2</sub> (10 unit/λ)  
77 ml H<sub>2</sub>O

100 ml

37°C o/rv.



- add 100 ml phenol
- spin down
- 1:1 EtOH/NaAc
- R/S in 40 ml TE → 150 µg/λ

## FISH probe labeling (Jeannie's protocol)

## - 5X Reaction Buffer

92  $\mu$ l 5X nucleotide buffer  
8  $\mu$ l dH<sub>2</sub>O - 11 - dUTP

## - RFL mix

1, Jeannie's probe (15 kb)  
10  $\mu$ l primer  
300  $\mu$ g 15  $\mu$ l DrrA (20 ng/ $\mu$ l)  
14  $\mu$ l H<sub>2</sub>O  
39  $\mu$ l

2, Sam 68 pac  
10  $\mu$ l primer  
300  $\mu$ g 1  $\mu$ l Sam 68 pac (0.4 ng/ $\mu$ l)  
28  $\mu$ l H<sub>2</sub>O  
39  $\mu$ l

3, F-T<sub>4</sub> pac  
10  $\mu$ l primer  
300  $\mu$ g 0.5  $\mu$ l F-T<sub>4</sub> pac (0.6 ng/ $\mu$ l)  
28.5  $\mu$ l H<sub>2</sub>O  
39  $\mu$ l

4, F-T<sub>4</sub> (BglII-Eag 5') - 10 kb  
10  $\mu$ l primer  
300  $\mu$ g 1  $\mu$ l F-T<sub>4</sub> (0.3 ng/ $\mu$ l)  
28  $\mu$ l H<sub>2</sub>O  
39  $\mu$ l

- heat at 100°C 5 min.
- Spin down on ice

- add 10  $\mu$ l 5X Reaction Buffer  
2  $\mu$ l Klenow  
- 37°C 30 min.

- add 2  $\mu$ l stop mix

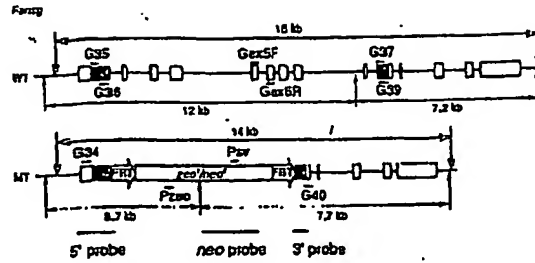
add 1:50 (probe: Cat (DrrA), Cat, DrrA (20  $\mu$ l  $\rightarrow$  15  $\mu$ l)  
add 2 vol. of EtOH/AcOH (14  $\mu$ l of EtOH/1  $\mu$ l AcOH)  
- -20°C over

- Spin down. Air dry.

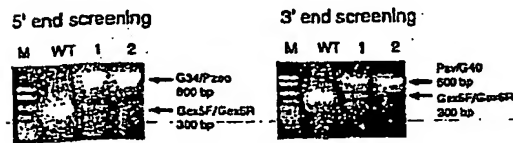
- IRIS in 150 - 200  $\mu$ l FISH Hybridization Buffer (2 ng/ $\mu$ l Final conc)



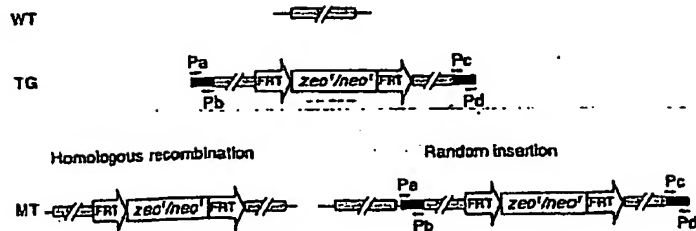
11A



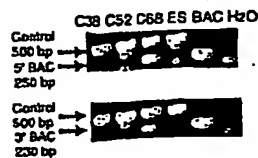
11B



12A



12B



12C

